

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Marcello Puggioni
Title: HEAT EXCHANGER FOR CENTRIFUGAL COMPRESSOR GAS
SEALING
Serial No.:
Art Unit:
Filing Date:
Examiner: December 20, 2006
Date:

INFORMATION DISCLOSURE STATEMENT

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

☐ a. The required Certification made in item 5 below; or

☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

- ☐ 5. Certification

☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement

was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

[X] 6. Payment of all applicable fees:

[X] Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 09-0470.

[] Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

/bat/
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